

Assessing the Added Value of ICAR Without Applying Observation Based Tuning

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1. Introduction
2. ICAR
3. Evaluation Strategy
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5. Conclusions

Introduction

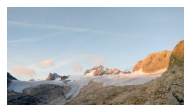
Introduction

A typical research question

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”What is the local impact of a changing global climate?”



Introduction

Global Circulation Models

- ▶ spatially coarse

Introduction

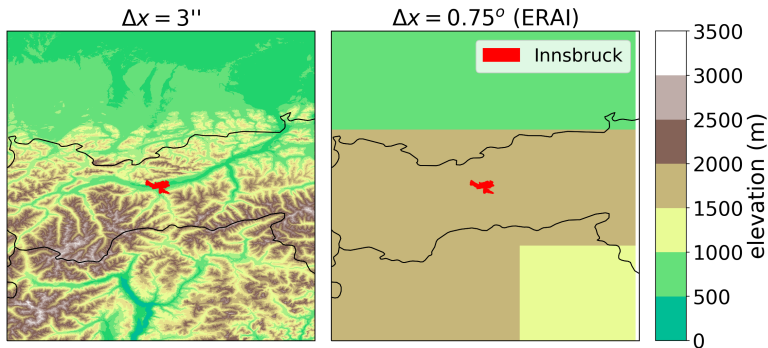
Global Circulation Models

- ▶ spatially coarse
- ▶ miss processes relevant for local climate and weather
- ▶ \Rightarrow especially problematic in complex topography

Introduction

Global Circulation Models

- ▶ spatially coarse
- ▶ miss processes relevant for local climate and weather
- ▶ ⇒ especially problematic in complex topography



Introduction

How to obtain atmospheric fields for local impact studies?

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1. dynamic downscaling

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 - ▶ physically consistent fields

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 - ▶ computationally expensive

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 - ▶ if tuned to observations: stationarity assumption
2. statistical downscaling

Introduction

How to obtain atmospheric fields for local impact studies?

1. dynamic downscaling

- ▶ physically consistent fields
- ▶ computationally expensive
- ▶ if tuned to observations: stationarity assumption

2. statistical downscaling

- ▶ computationally cheap
- ▶ require measurements for training
 - ⇒ data sparse regions?
 - ⇒ stationarity assumption

Introduction

dynamic downscaling ←————→ statistical downscaling

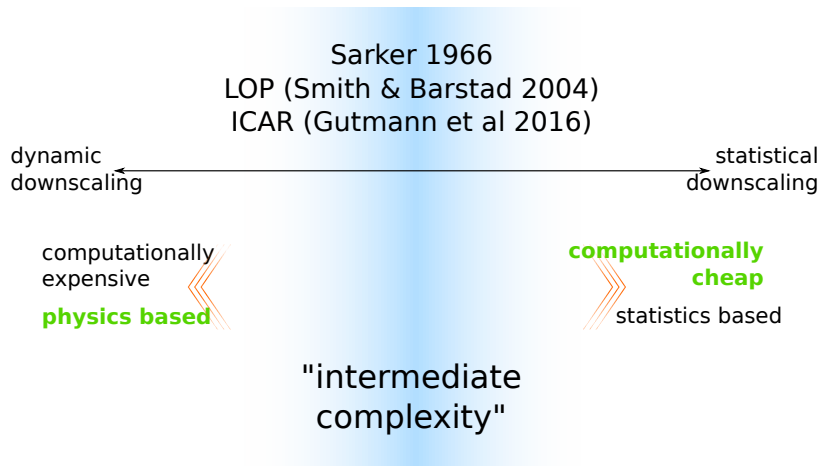
computationally expensive
physics based

computationally cheap
statistics based

Introduction



Introduction



Introduction

Intermediate **C**omplexity **A**tmospheric **R**esearch Model (ICAR)
(Gutmann et al., 2016)

- ▶ physics based
- ▶ computationally frugal

compared to other intermediate complexity approaches:

- ▶ fewer simplifying assumptions
- ▶ more general atmospheric model

ICAR

- ▶ quantities stored on 3D grid
- ▶ numerical advection within wind field
- ▶ microphysics

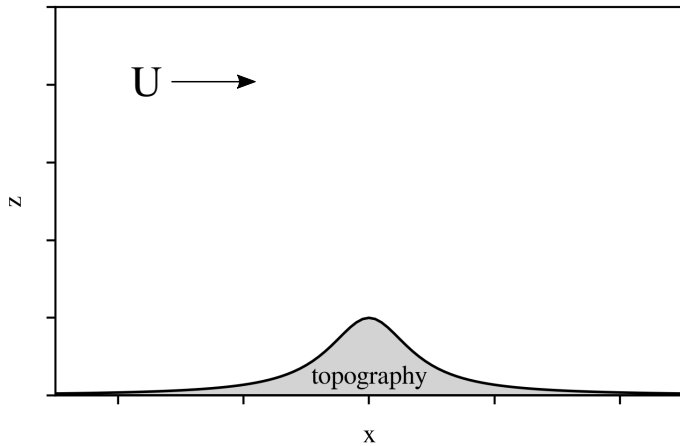
ICAR

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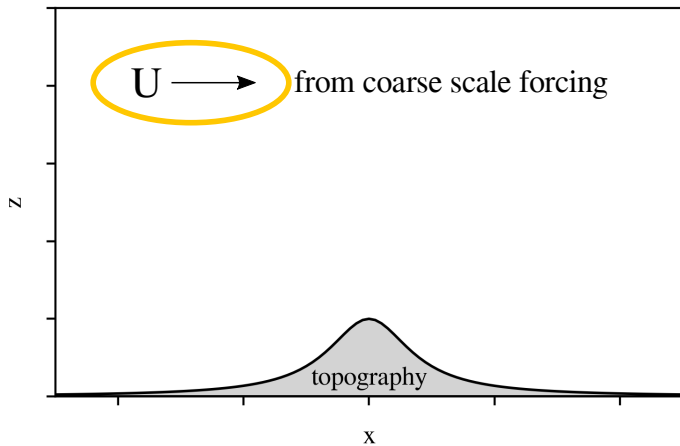
Wind field

- ▶ calculated analytically
- ▶ based in linear theory
- ▶ calculated for every forcing time step
⇒ Sequence of steady states

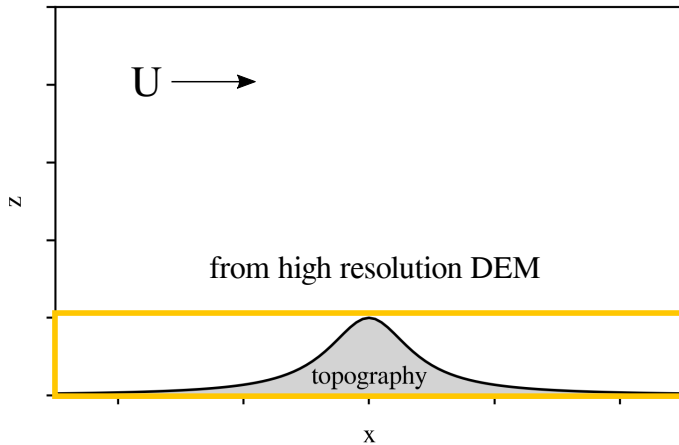
ICAR - Windfield



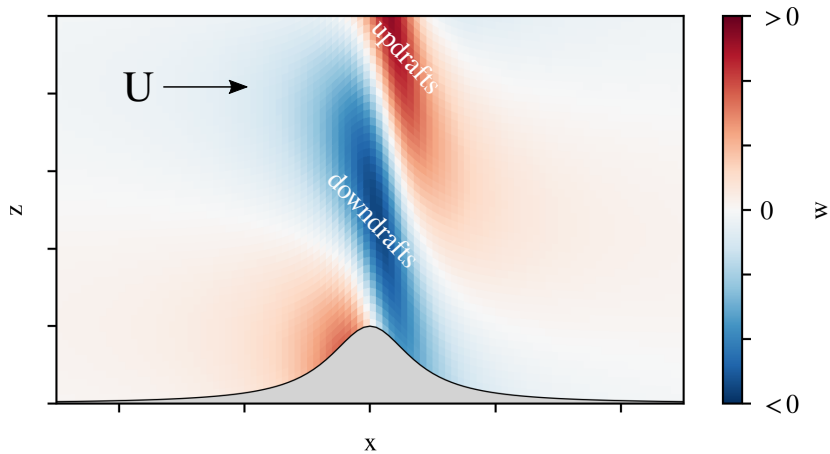
ICAR - Windfield



ICAR - Windfield

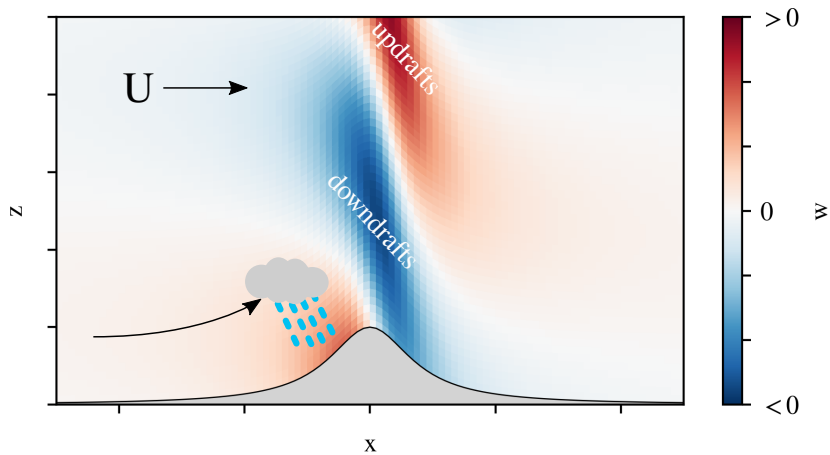


ICAR - Windfield



equations: Barstad and Grønås (2006)

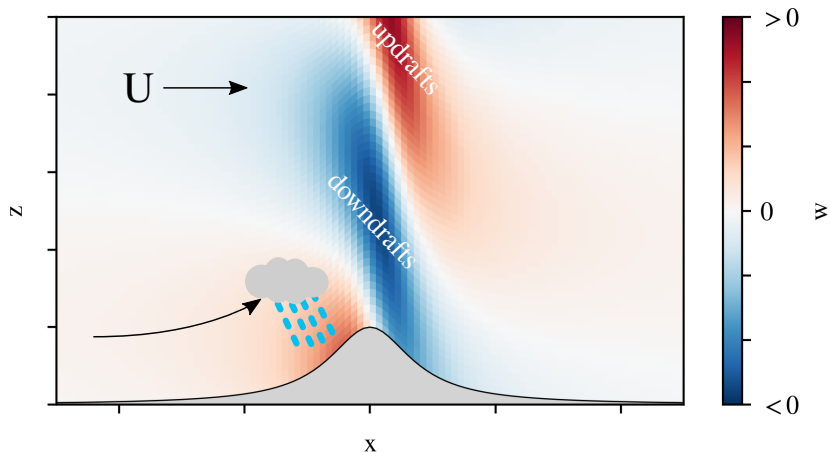
ICAR - Windfield



equations: Barstad and Grønås (2006)

ICAR - Windfield

currently: **no reflection of waves**



equations: Barstad and Grønås (2006)

Goal

use ICAR to downscale

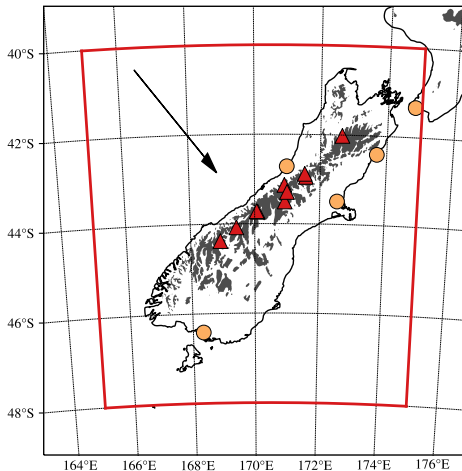
- ▶ 24h accumulated precipitation and
- ▶ quantify added value over forcing dataset

and investigate

- ▶ direct comparison to weather station data over multiple years
- ▶ performance in dependence of atm. background state
- ▶ performance in dependence of synoptic weather patterns

Study Domain

Domain - South Island of New Zealand



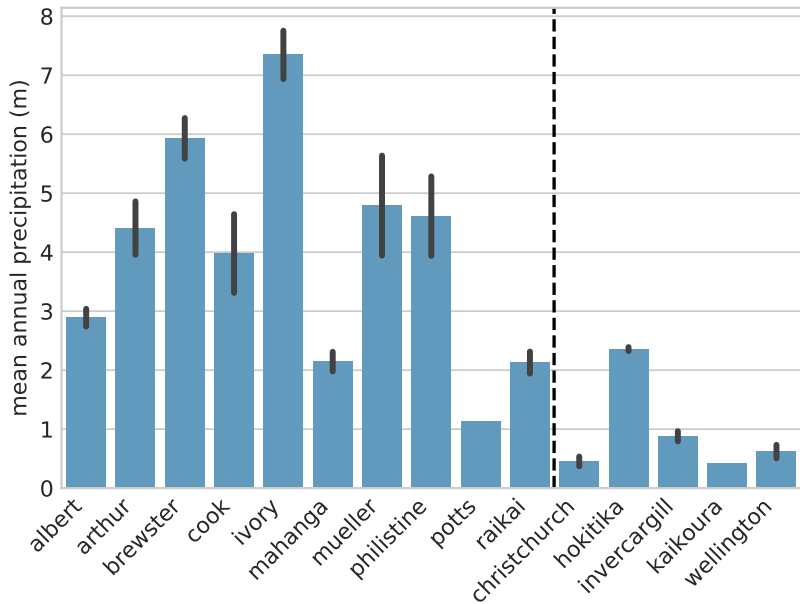
- ▲ alpine stations
- coastal stations
- topography above 1000 m
- model domain
- ➔ north-westerlies predominant

highest peak: $\approx 3700\text{m}$

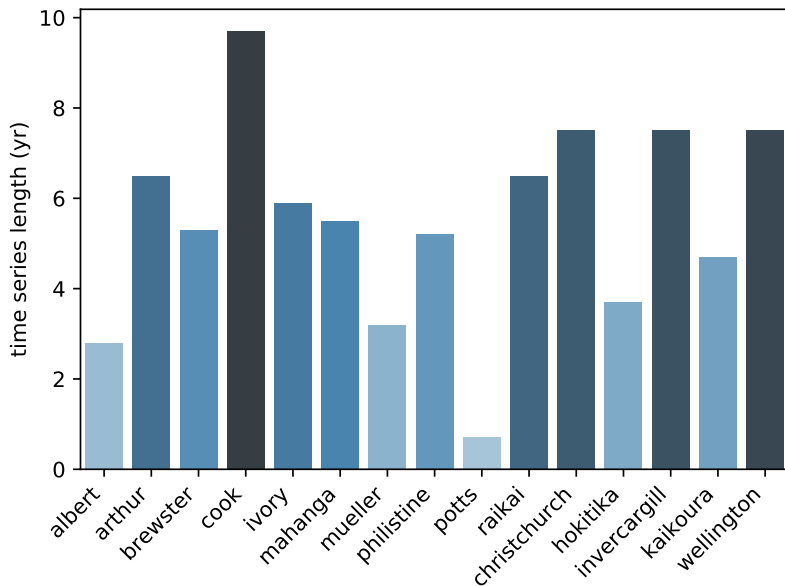
precipitation data:

NIWA, NZ
MetService, NZ
University of Otago, NZ

Mean Annual Precipitation



Observational Data



Setup

ERA-Interim forcing

▶ $\Delta t = 6 \text{ h}$ $\Delta A \approx 60 \times 83 \text{ km}^2$

Setup

ERA-Interim forcing

▶ $\Delta t = 6 \text{ h}$ $\Delta A \approx 60 \times 83 \text{ km}^2$

also used as reference model to
determine added value

Setup

ERA-Interim forcing

- ▶ $\Delta t = 6 \text{ h}$ $\Delta A \approx 60 \times 83 \text{ km}^2$

downscale to

- ▶ $\Delta t = 1 \text{ h}$ $\Delta A = 4 \times 4 \text{ km}^2$

- ▶ model top at $\approx 5.7 \text{ km}$ above topography

Setup

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10 year study period

- ▶ 01/2006 to 12/2016

Setup

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10 year study period

- ▶ 01/2006 to 12/2016

Settings

- ▶ **ICAR standard settings**
- ▶ **NO tuning to observations**

Evaluation & Results

~~Evaluation & Results~~

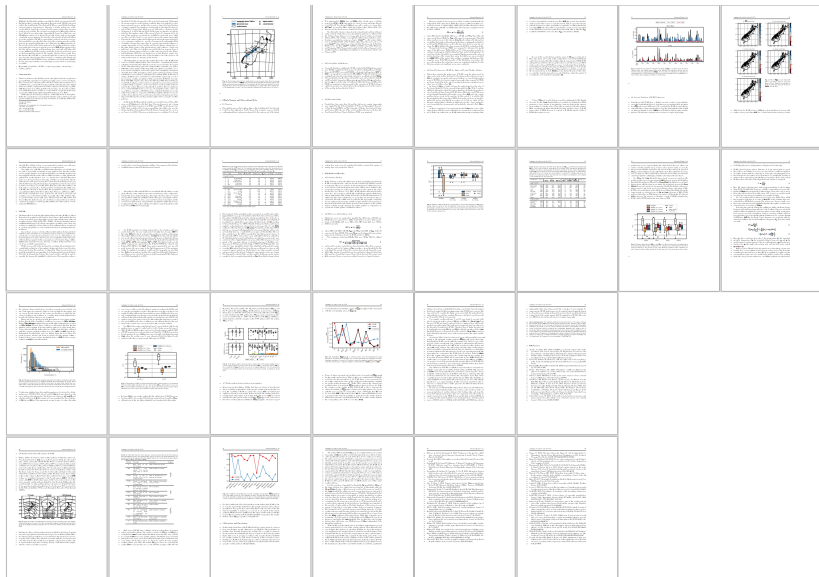
Short intermission:

A small mistake with large consequences

two weeks ago...

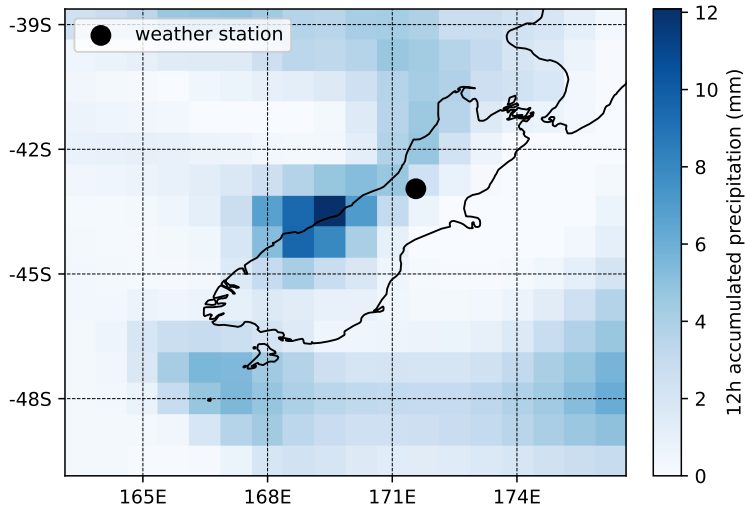
Mistakes

► very advanced paper draft



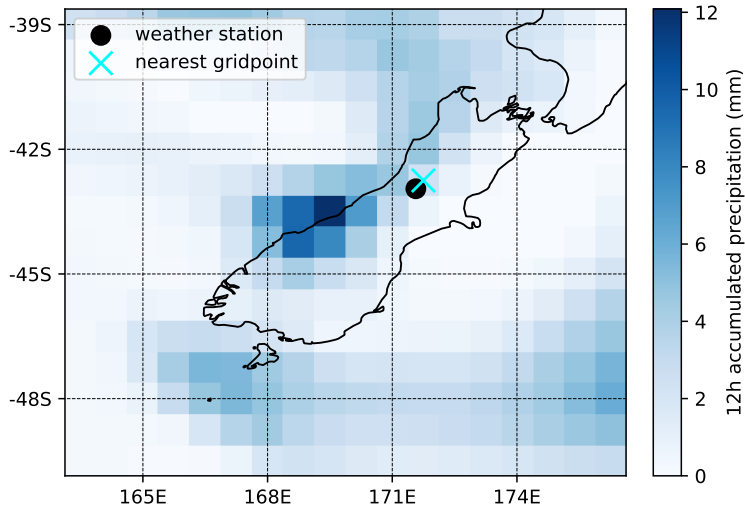
Mistakes

- ▶ found error in self written post-processing tool



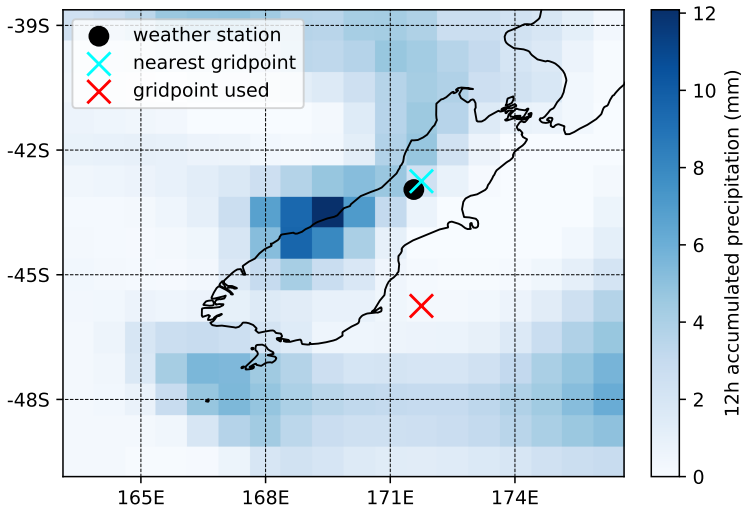
Mistakes

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Mistakes

- ▶ found error in self written post-processing tool



Mistakes

What happened?

ERA-Interim latitude array sorted from highest value to lowest value:

- ▶ $[-39, -39.75, -40.5, -41.25, -42, \dots]$

equation to find nearest grid point assumed otherwise

- ▶ correct index calculated
- ▶ started counting from wrong side of array

-39	-40.5	-42	-43.5	-45	-46.5	-48	-49.5
-39	-40.5	-42	-43.5	-45	-46.5	-48	-49.5

⇒ extracted time series from wrong grid point

Consequences

Paper and Evaluation need adaptations

- ▶ analysis still relevant
- ▶ some results change

⇒ interpretation now obsolete

updated results shown here

Evaluation Strategy

What we can compare

24h accumulated precipitation

ICAR	: ICAR
ICAR ⁺	: ICAR + ERAI
ICAR [*]	: ICAR + (ERAI - ORO)
reference	: ERAI

ORO: orographic precipitation already in ERAI

- ▶ from ICAR simulations with ERAI topography
- ▶ and ERAI wind field (no linear waves)

all interpolated to station coordinates

for now we focus on ICAR⁺ (more work on ICAR^{*} needed)

Evaluation Strategy

determine added value

- ▶ Skill scores
 - ▶ mean squared error based
 - ▶ Heidke skill score

influence of selected criteria on scores

- ▶ topographic complexity
- ▶ flow linearity
- ▶ synoptic situation

significance tests

- ▶ moving block bootstrap

Evaluation Strategy

use skill scores to **quantify added value** over ERAI

- ▶ **MSE based**

Model closer to measurements than a reference model?

- ▶ **Heidke skill score**

Model better at forecasting occurrence / non-occurrence of an event than reference is?

dependent on threshold!

- ▶ 25 mm
- ▶ 50 mm

Evaluation Strategy

What is HSS?

- ▶ contingency table based
- ▶ event occurs or doesn't

Essentially:

$$\frac{\text{prop. correct} - \text{reference}}{1.0 - \text{reference}}$$

reference:

prop. correct ERA-Interim

		Observed	
		yes	no
Modelled	yes	hits	false hits
	no	false misses	misses

Evaluation Strategy

$$SS_{\text{MSE}} = 1 - \frac{\text{MSE}}{\text{MSE}_r}$$
$$SS_{\text{HSS}} = \frac{\eta - \eta_r}{1 - \eta_r}$$

where

η ... proportion correct ICAR⁺

η_{ref} ... proportion correct ERAI

score values:

1.0 ... perfect!

0.0 ... as good as reference

< 0.0 ... worse than reference

Significance Tests

moving block bootstrap

- ▶ same algorithm as normal bootstrapping
- ▶ preserves autocorrelation structure of time series
- ▶ resamples blocks consisting of L observations
- ▶ block length $L = L(n, \rho)$

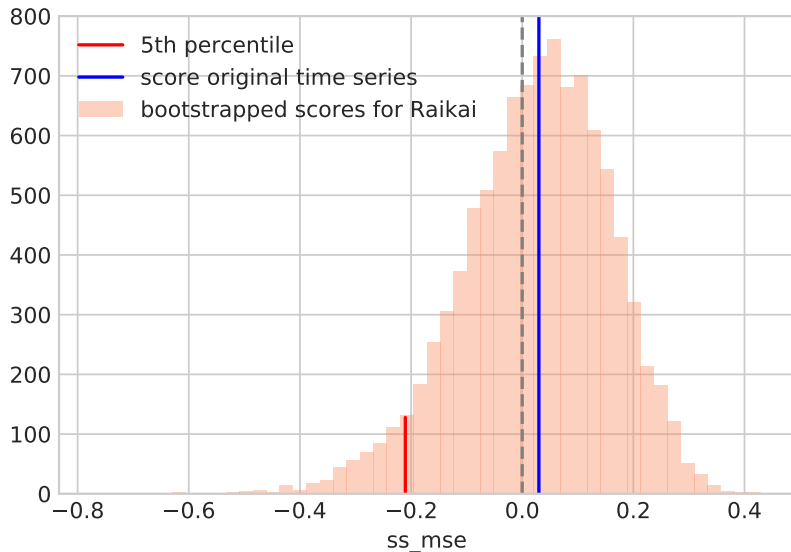
Score significant **if**

- ▶ 5th percentile of bootstrapped scores > 0

All following plots:

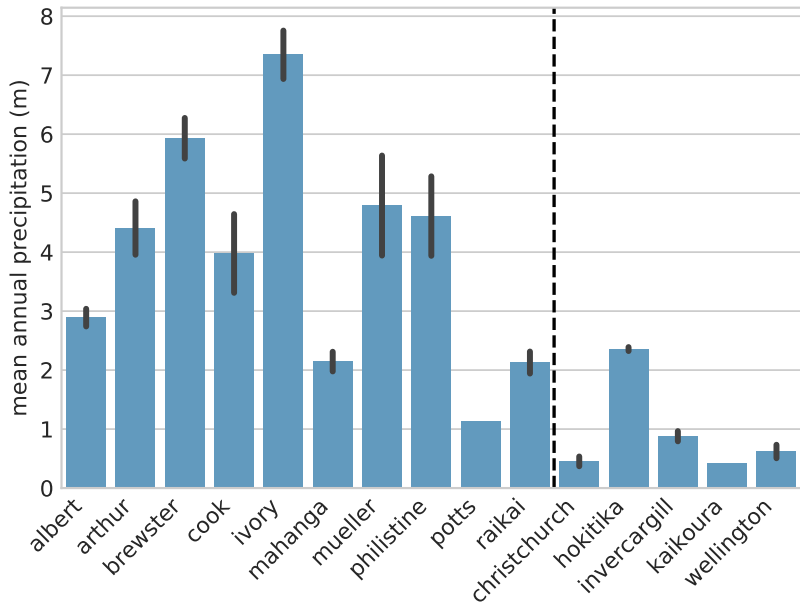
only significant scores included

Significance Tests

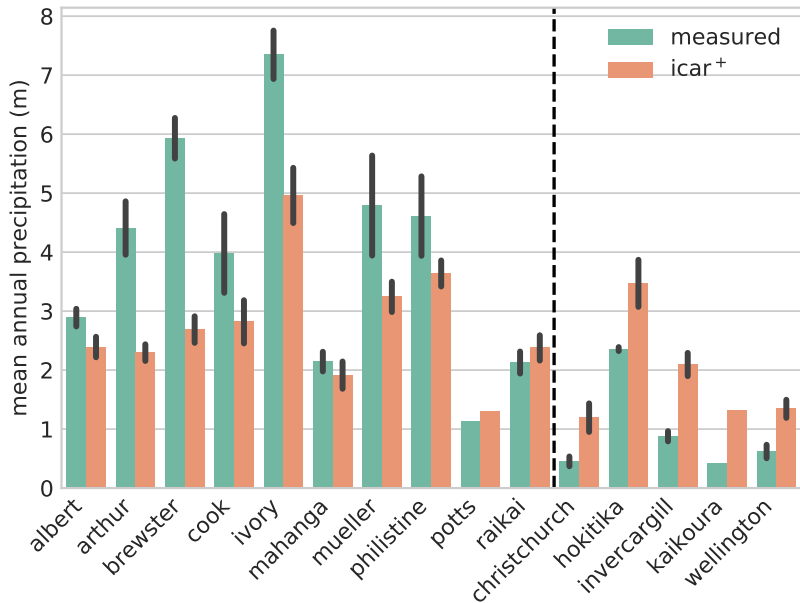


Results

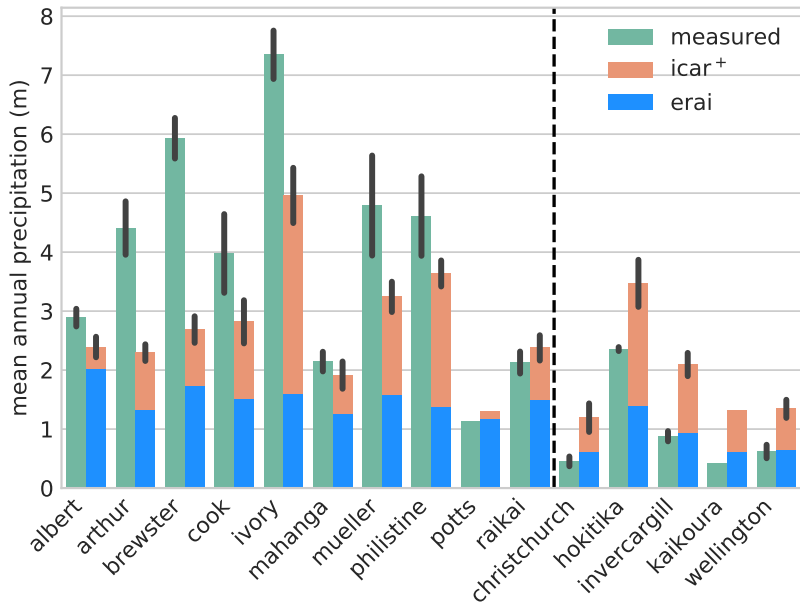
Mean annual precipitation sums



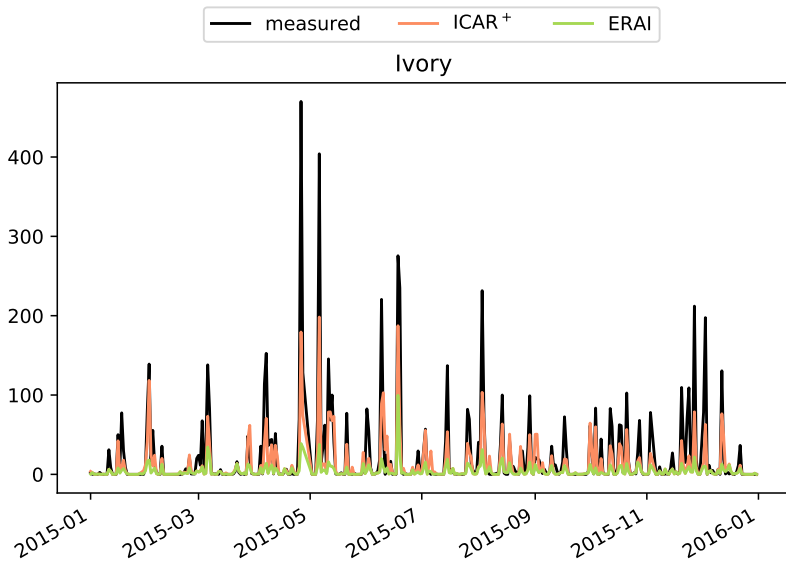
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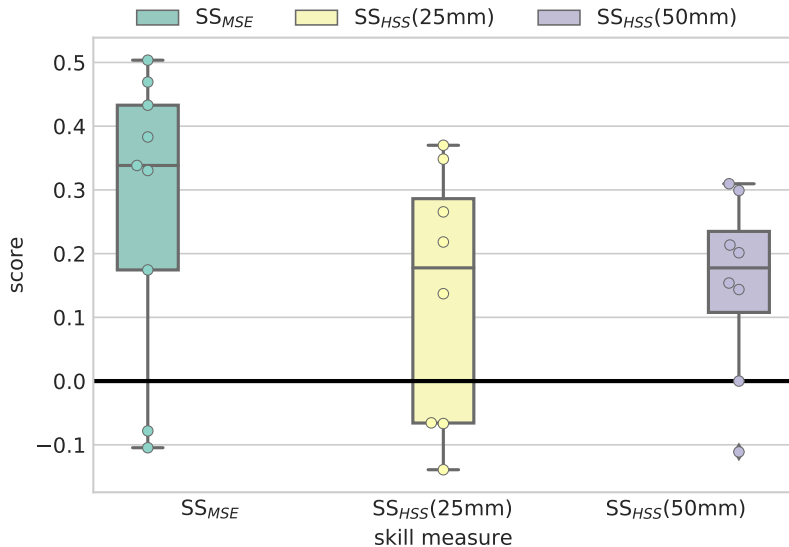
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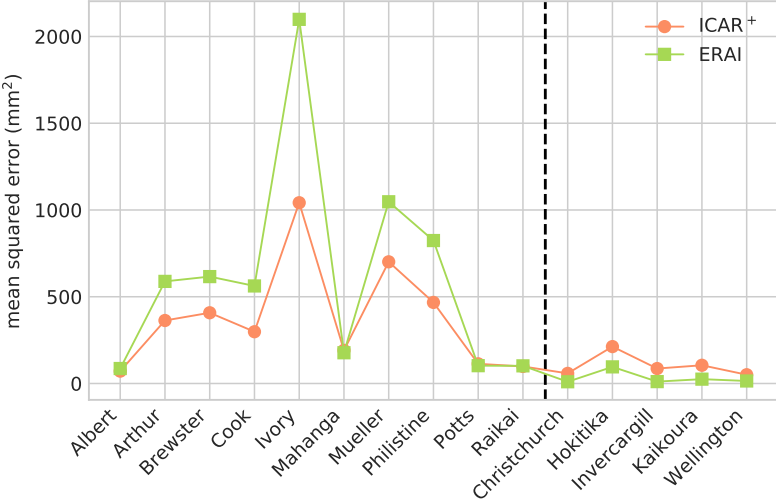
Time Series



Scores



Mean Squared Error



ICAR and linearity of flow upstream

ICAR based on linear theory

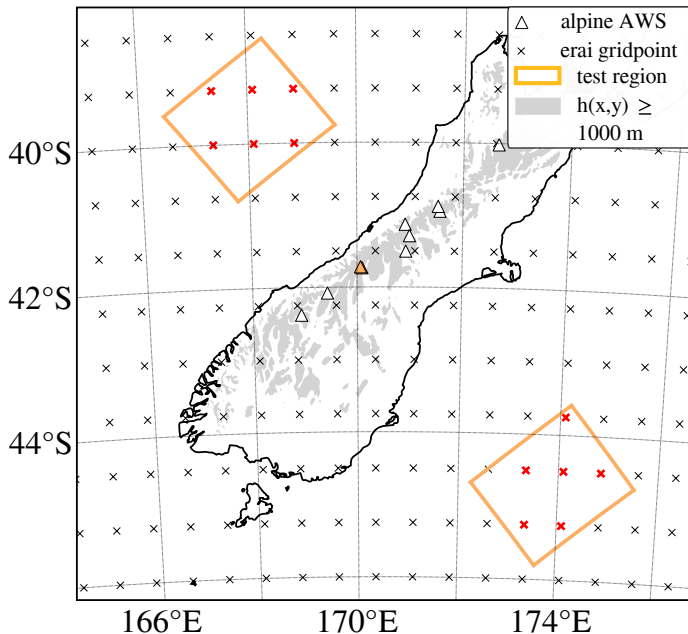
⇒ linear flow should increase model performance

1. defined upstream test volumes (per weather station)
2. characterized flow linearity in test volumes with Froude number

$$Fr = \frac{WS}{HN}$$

3. investigated how this affects skill scores

ICAR and linearity of flow upstream



ICAR and linearity of flow upstream

Characterized with Froude Number

$$Fr = \frac{WS}{HN} \quad (1)$$

$Fr < 1$ non-linearity more likely

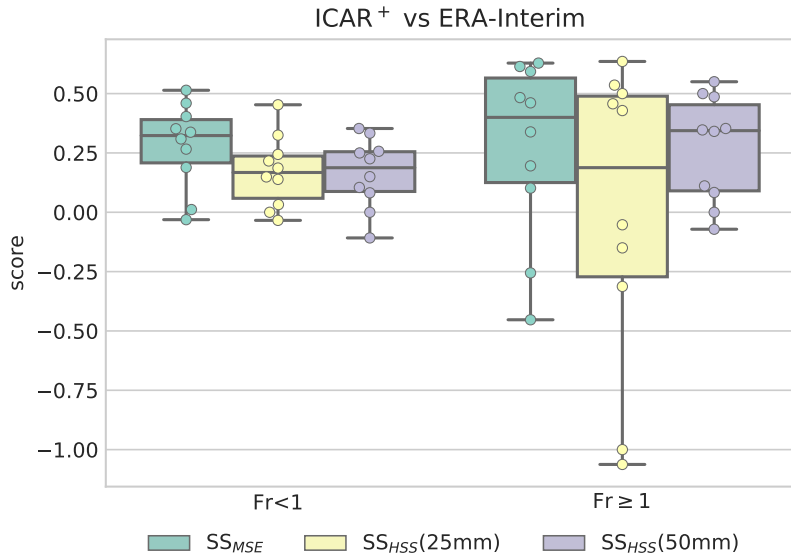
$Fr \geq 1$ linear flow

- ▶ WS... wind speed perpendicular to alps
- ▶ H ... characteristic height
- ▶ N ... Brunt Väisälä frequency

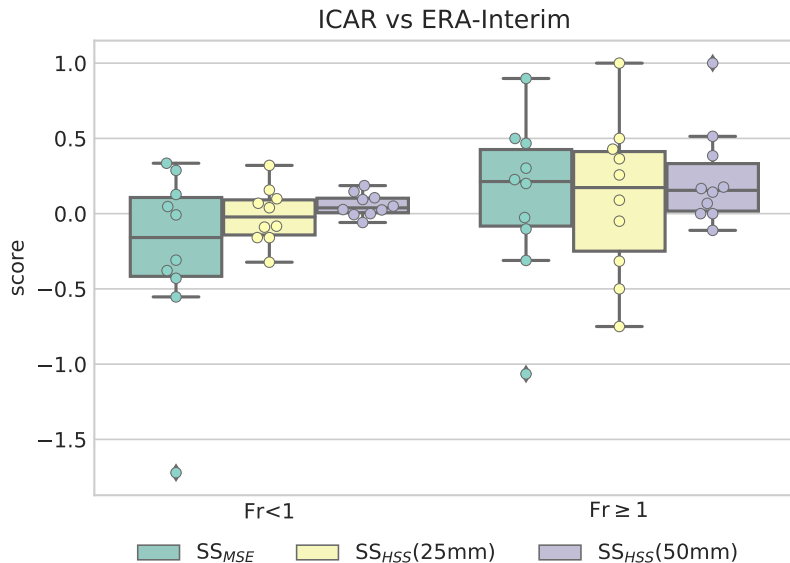
Only included days where

- ▶ flow $\pm 15^\circ$ northwest or southeast
- ▶ stable stratification

ICAR and linearity of flow upstream



ICAR and linearity of flow upstream



Weather Patterns

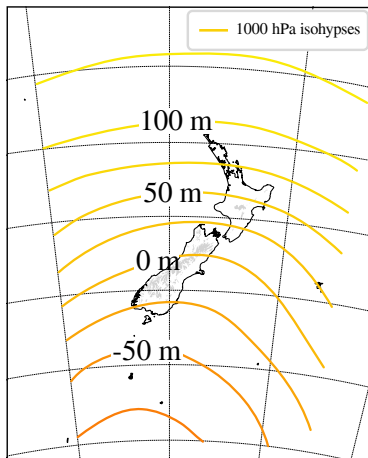
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- ▶ defined by 24h mean elevation of 1000 hPa lvl
 - ▶ example: Trough - pattern
 - ▶ on $\approx 12\%$ of days



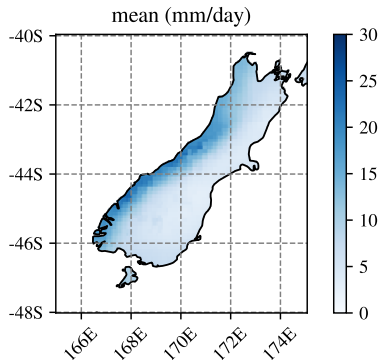
Trough - pattern

Weather Patterns

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- ▶ linked to regional moistening / drying

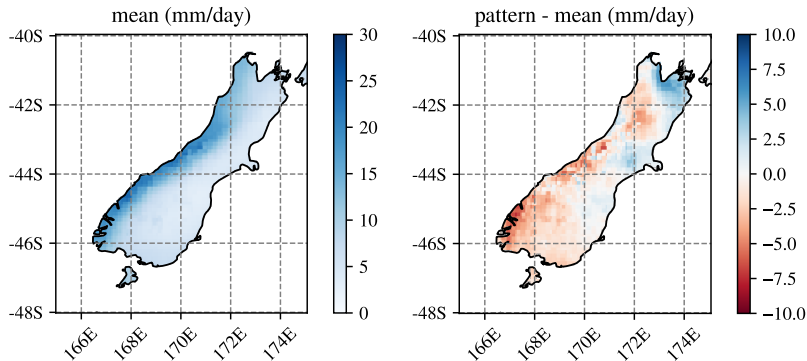
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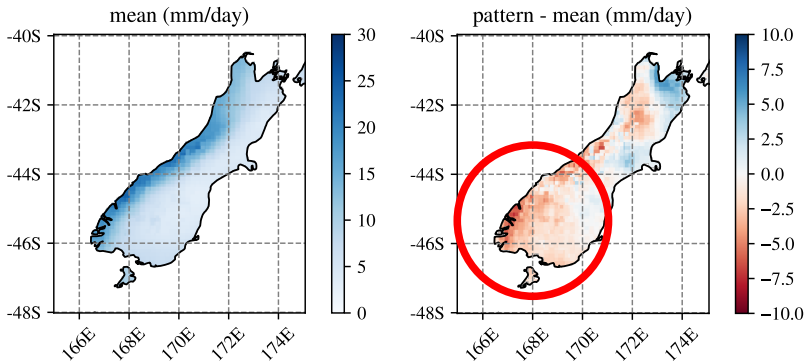
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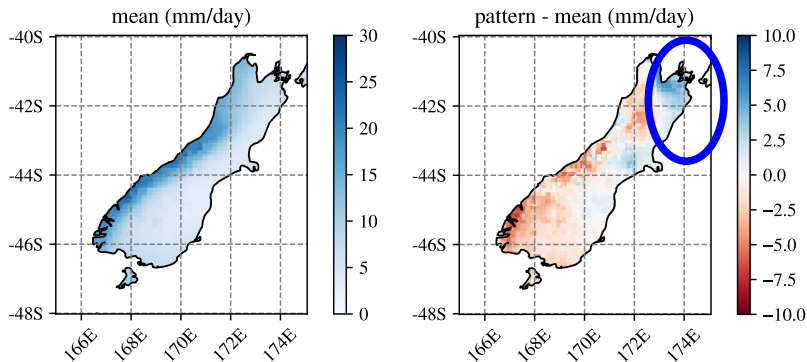
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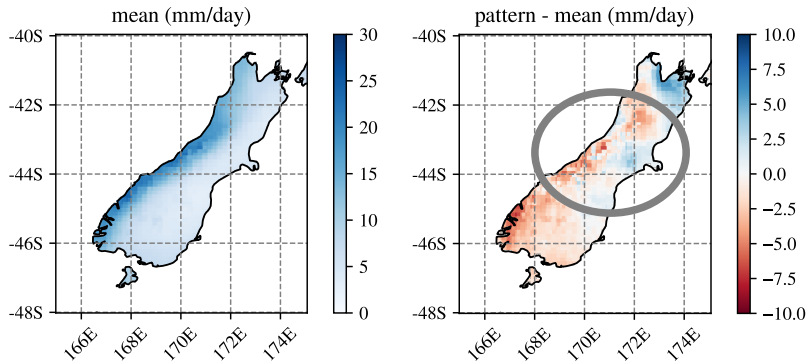
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Weather Patterns

Weather patterns - ideal for investigating ICAR

- ▶ not part of downscaling method
- ▶ indicator of how physics based model is

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Weather Pattern \Rightarrow local moistening and drying

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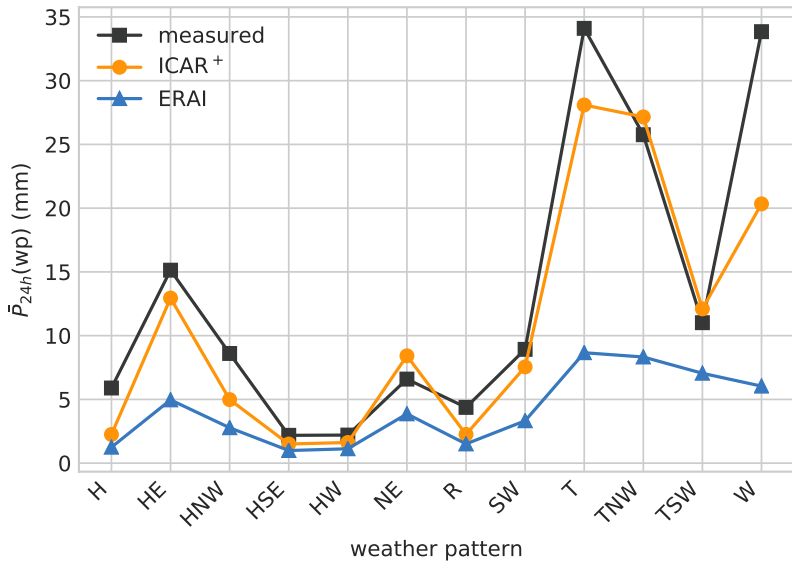
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Weather Pattern \Rightarrow local moistening and drying

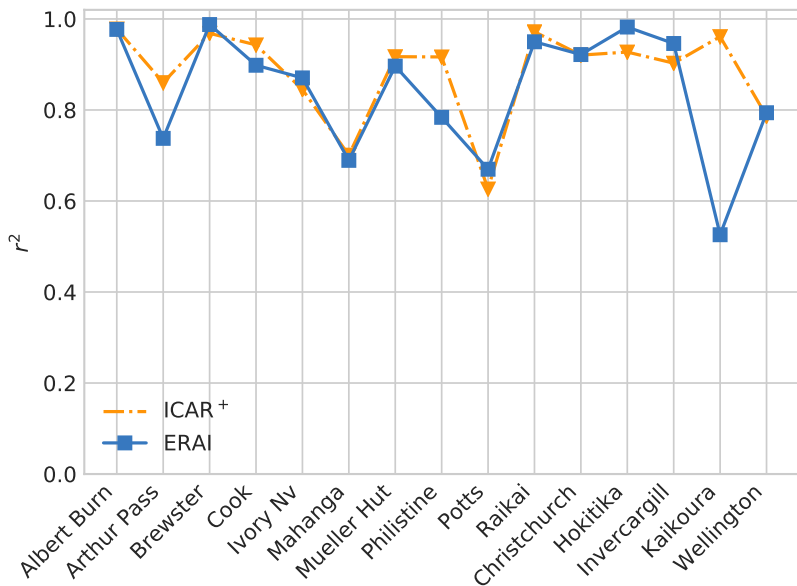
can ICAR model the measured variation?

Precipitation Variation at an Alpine Station

Philistine (z=1655 m)



Coefficient of Determination



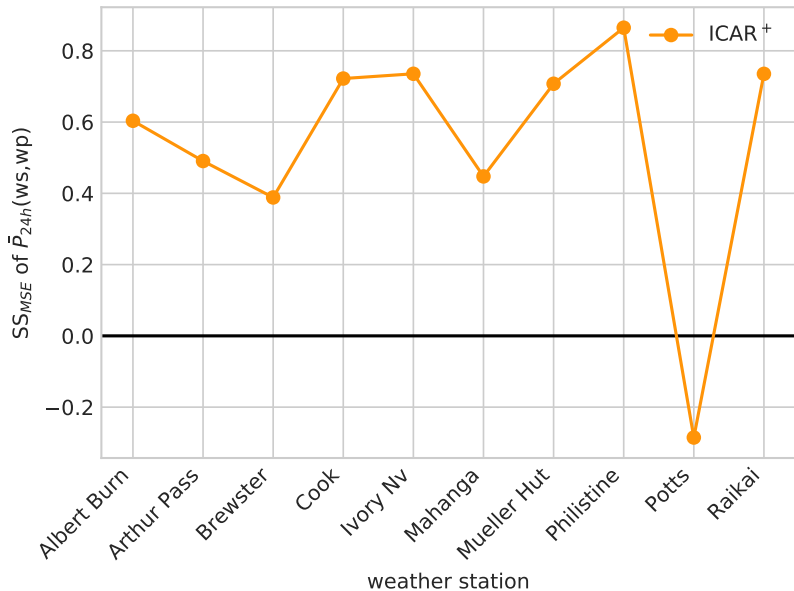
Precipitation Variation at an Alpine Station

- ▶ only small differences
- ▶ ICAR⁺ very similar to ERAI
- ▶ ICAR⁺: $r^2 > 0.9$ at 10 of 15 sites

⇒ variability explained equally well by forcing

However, at alpine stations:

local \bar{P}_{24h} precipitation during pattern
more accurate in ICAR⁺



SS_{MSE} during weather patterns

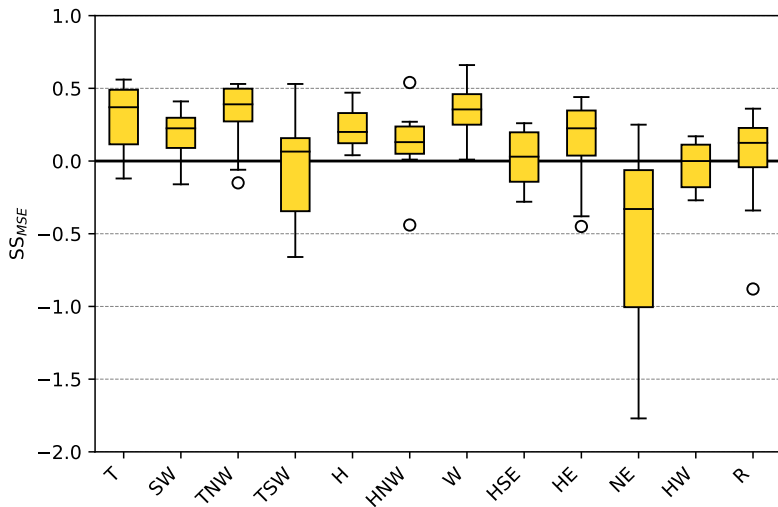
Before:

- ▶ looked at average precipitation at a weather station during a pattern
- ▶ calculated SS_{MSE} for average precipitations per weather pattern at a weather station

Now:

- ▶ calculate SS_{MSE} for all days where weather pattern occurs

SS_{MSE} during weather patterns



Summary and Conclusions

Conclusions

Added value of ICAR⁺ over ERAI in complex topography

- ▶ SS_{MSE} - added value at some 7/10 alpine stations
- ▶ SS_{HSS} - added value for 6 alpine stations at every threshold

no added value for coastal weather stations

best 'choice' for complex topography:

- ▶ $ICAR^+ = ICAR + ERAI$

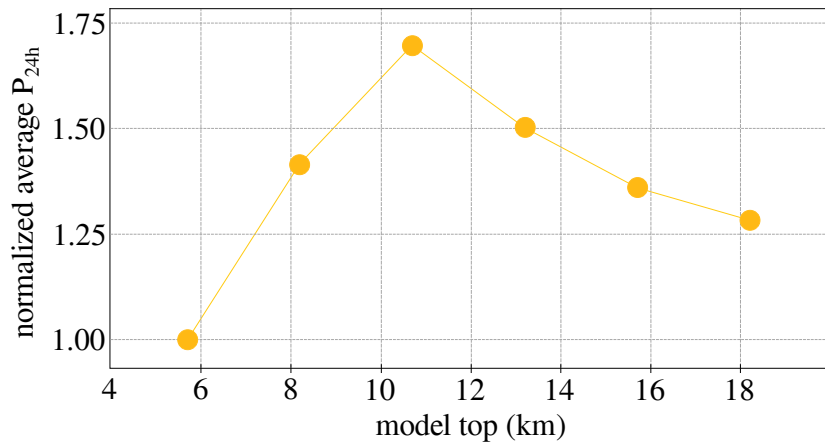
but: ICAR is too dry

ICAR is too dry

Potential reasons

1. no coupling w. surface
2. ERAI too dry?
3. cloud ice water and cloud liquid water
4. seeder/feeder mechanism
5. nonlinear wave amplification
6. model top height

Influence of Model Top



Conclusions

Upstream flow linearity

- ▶ slightly improves ICAR⁺ performance
- ▶ however, effect clearer in ICAR only
ERA1 affected as well

Conclusions

Variation of local precipitation

- ▶ variability similar to that of forcing (r^2)

However, clear added value found for local $\bar{P}_{24h}(wp)$

- ▶ at 9 of 10 alpine stations

$$SS_{MSE} \geq 0.4$$

SS_{MSE} in dependence of weather pattern:

- ▶ clear added value for 8/12 weather patterns
- ▶ 3/12 with no added value (median)
- ▶ 1/12 with worse performance compared to ERAI

Conclusions

ICAR shows potential

- ▶ added value without observational tuning
- ▶ particularly relevant for data sparse regions
- ▶ despite low model top

...but has its problems

Clarification needed for

- ▶ orographic component of ERAI
work in progress
- ▶ underestimation of precipitation
- ▶ physics based argument for choice of model top

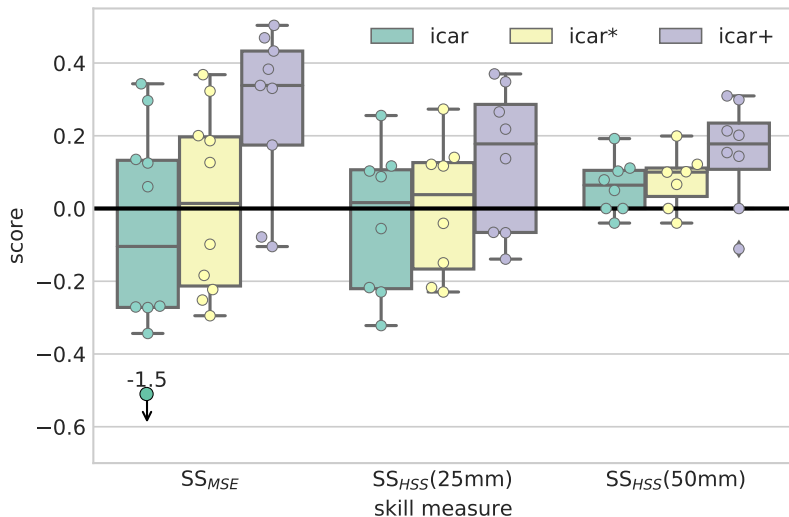
Thank you!

Literature I

- Barstad, I. and Grønås, S. (2006). Dynamical structures for southwesterly airflow over southern Norway: the role of dissipation. Tellus A, 58(1):2–18.
- Gutmann, E., Barstad, I., Clark, M., Arnold, J., and Rasmussen, R. (2016). The intermediate complexity atmospheric research model (ICAR). Journal of Hydrometeorology, 17(3):957–973.
- Kidson, J. W. (2000). An analysis of New Zealand synoptic types and their use in defining weather regimes. International Journal of Climatology, 20(3):299–316.
- Sarker, R. (1966). A dynamical model of orographic rainfall. Monthly Weather Review, 94(9):555–572.
- Smith, R. B. and Barstad, I. (2004). A linear theory of orographic precipitation. Journal of the Atmospheric Sciences, 61(12):1377–1391.

Appendix

Scores



$icar^* = icar + erai - oro$

$icar^+ = icar + erai$